



03-08-02

Section
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Approved for use through 10/31/02. OMB 0651-0031

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| | | | |
|------------------------------------------|----|----------------------|-------------------|
| TRANSMITTAL FORM | | Application Number | 10/010,366 |
| | | Filing Date | November 5, 2001 |
| | | First Named Inventor | Roger O. WILLIAMS |
| | | Group Art Unit | 2853 |
| | | Examiner Name | To Be Assigned |
| Total Number Of Pages In This Submission | 23 | Attorney Docket No. | 514542000900 |

| | | | |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|--|--|
| ENCLOSURES (check all that apply) | | | |
| <input checked="" type="checkbox"/> Fee Transmittal Form + duplicate for fee processing - 2 pages <input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Drawing(s) - 9 pages <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> After Final <input type="checkbox"/> Petition <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Affidavits/declarations <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Status Letter <input type="checkbox"/> Extension of Time Request <input checked="" type="checkbox"/> Power of Attorney, Revocation <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Change of Correspondence Address - 3 pages <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Terminal Disclaimer <ol style="list-style-type: none"> 1. Declaration - 2 pages 2. Statement under §3.73(b) with copy of Assignment - 4 pages 3. Return postcard <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Request for Refund <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> CD, Number of CD(s) _____ <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 - 2 pages Remarks | | | |

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

| | |
|-------------------------|----------------------------------------------------------------------------------------------------------------------------|
| Firm or Individual Name | Morrison & Foerster LLP, 755 Page Mill Road, Palo Alto, California 94304-1018 Richard R. Batt (Registration No. 43,485) |
| Signature | |
| Date | March 5, 2002 |

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

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Date of Deposit: March 5, 2002

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Tamara Alcaraz

Burden Hours Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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FEET TRANSMITTAL FOR FY 2002

DUPLICATE COPY FOR FEE PROCESSING

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$65.00)

| Complete if Known | |
|----------------------|-------------------|
| Application Number | 10/010,366 |
| Filing Date | November 5, 2001 |
| First Named Inventor | Roger O. WILLIAMS |
| Examiner Name | To Be Assigned |
| Group Art Unit | 2853 |

Attorney Docket No. 514542000900

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 03-1952

Deposit Account Name Morrison & Foerster LLP

 Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 Applicant claims small entity status. See 37 CFR 1.272. Payment Enclosed: Check Credit Card Money Order Other

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Paid |
|----------------|-----------------|----------------|-----------------|------------------------------------------------------------------------------|----------|
| 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | 65 |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 139 | 130 | 139 | 130 | Non-English specification | |
| 147 | 2,520 | 147 | 2,520 | For filing a request for ex parte reexamination | |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 | 55 | Extension for reply within first month | |
| 116 | 400 | 216 | 200 | Extension for reply within second month | |
| 117 | 920 | 217 | 460 | Extension for reply within third month | |
| 118 | 1,440 | 218 | 720 | Extension for reply within fourth month | |
| 128 | 1,960 | 228 | 980 | Extension for reply within fifth month | |
| 119 | 320 | 219 | 160 | Notice of Appeal | |
| 120 | 320 | 220 | 160 | Filing a brief in support of an appeal | |
| 121 | 280 | 221 | 140 | Request for oral hearing | |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| 140 | 110 | 240 | 55 | Petition to revive - unavoidable | |
| 141 | 1,280 | 241 | 640 | Petition to revive - unintentional | |
| 142 | 1,280 | 242 | 640 | Utility issue fee (or reissue) | |
| 143 | 460 | 243 | 230 | Design issue fee | |
| 144 | 620 | 244 | 310 | Plant issue fee | |
| 122 | 130 | 122 | 130 | Petitions of the Commissioner | |
| 123 | 50 | 123 | 50 | Petitions related to provisional applications | |
| 126 | 180 | 126 | 180 | Submission of Information Disclosure Stmt | |
| 581 | 40 | 581 | 40 | Recording each patent assignment per properties (times number of properties) | |
| 146 | 740 | 246 | 370 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 149 | 740 | 249 | 370 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 179 | 740 | 279 | 370 | Request for Continued Examination (RCE) | |
| 169 | 900 | 169 | 900 | Request for expedited examination of a design application | |

SUBTOTAL (1) (\$0)

2. EXTRA CLAIM FEES

Extra Claims Fee from below Fee Paid

Total Claims 144 - 144 = 0 x 9 = \$0
Independent Claims 9 - 9 = 0 x 42 = \$0

Multiple Dependent 140 = \$0

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$65)

| SUBMITTED BY | | | | | | Complete if applicable) |
|-------------------|-------------------------------------------------------------------------------------|-----------------------------------|--------|-----------|----------------|-------------------------|
| Name (Print/Type) | Richard R. Batt | Registration No. (Attorney/Agent) | 43,485 | Telephone | (650) 813-5616 | |
| Signature |  | | | Date | March 5, 2002 | |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/010,366 | 11/05/2001 | Roger O. Williams | 514542000900 |

CONFIRMATION NO. 4850

FORMALITIES LETTER



OC000000007279147

Richard R. Batt
Morrison & Foerster LLP
755 Page Mill Road
Palo Alto, CA 94304-1018

Date Mailed: 01/08/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

03/13/2002 SZEWDIE1 00000050 031952 10010366 FILED UNDER 37 CFR 1.53(b)

01 FC:205 65.00 CH

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

DO NOT FILE DRAFTS OR PROVISIONALS

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - Drawings must be reasonably free from erasures and must be free from alterations, overwritings, interlineations, folds, and copy marks.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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